

Electronic Thesis and Dissertation Data Model

Version 1.0 April 19, 2017

CSU ScholarWorks Electronic Thesis and Dissertation Data Model

| Version | Date | Created by/Changed By | Notes |
|---------|----------------|-----------------------------------|--------------------------------|
| 0.1 | April 10, 2017 | Aaron Collier | Initial Draft |
| | April 11, 2017 | | Draft sent to Liaison Group |
| | April 19, 2017 | ScholarWorks Liaison Group (2017) | Comment Review |
| 1.0 | April 19, 2017 | ScholarWorks Liaison Group (2017) | Version 1.0 Released |

The purpose of the California State University Scholarworks Electronic Thesis and Dissertation Data Model is to:

- Ensure a uniform standard for metadata capture throughout the CSU Institutional Repositories for thesis and dissertation related works.
- Facilitate the maintenance of uniform vocabularies and authority records.
- Ensure access and discovery of ETD content across uniform standards.

ScholarWorks Liaison Group (2017)

Chris Livingston (CSU Bakersfield)

Matt Cook (CSU Channel Islands)

George Thompson (CSU Chico)

Dana Ospina (CSU Dominguez Hills)

Lana Wood (CSU East Bay)

David Drexler (CSU Fresno)

Mark Bilby (CSU Fullerton)

Jeremy Shellhase (Humboldt)

Chloe Pascual (CSU Long Beach)

Azalea Camacho (CSU Los Angeles)

Mark Stackpole (CSU Maritime)

Ryne Leuzinger (CSU Monterey Bay)

Katie Lage (Moss Landing Marine Laboratories)

Andrew Weiss (CSU Northridge)

Ryan Rush (Cal Poly Pomona)

Bin Zhang (CSU Sacramento)

Stacy Magedanz (CSU San Bernardino)

Melissa Lamont (San Diego)

Yasaman Mostoufi (San Francisco)

Emily Chan (San Jose)

Zach Vowell (Cal Poly)

Carmen Mitchell (CSU San Marcos)

Rita Premo (Sonoma)

Annie Hor (CSU Stanislaus)

Contents

| Introduction | . 4 |
|-------------------------------|------|
| Required Fields | 5 |
| Recommended Fields | 5 |
| Explanation of Element Tables | 6 |
| Required Element Tables | 7 |
| Recommended Element Tables | . 14 |
| Controlled Vocabularies | 22 |
| Resources | 23 |

Introduction

Initially drafted by Aaron Collier (Digital Repository Services Manager - California State University, Office of the Chancellor), reviewed and edited by the ScholarWorks Liaison Group. This data model is intended to define the fields that all California State University institutional repositories are required to capture for thesis and dissertation collections as well as the the recommended fields that will aid in improving discoverability.

Ultimately, this data model is a best practices guide (or part of a best practices guide) for including theses and dissertations in an institutional repository.

This data model is adopted from the *Networked Digital Library of Theses and Dissertations* (NDLTD) Metadata Standard.

The format of this document is adopted from the *Orbis Cascade Alliance Dublin Core Best Practice Guidelines*.

Required Fields

Must be included in all records.

- Author
- Submission Date
- Permanent URL (Handle, etc.)
- Keyword(s)
- Title
- Type
- University
- College
- Discipline
- Degree Level
- Degree Name
- Abstract

Recommended Fields

Can be included in all records.

- Advisor
- Committee Member
- Spatial Coverage
- Temporal Coverage
- Copyright Date
- Date Issued
- Description
- Sponsorship
- Extent
- Language
- Rights
- Access Rights
- License
- Alternative Titles

Explanation of Table Elements

| Label | The name assigned to the field. Used in web forms and displays. |
|---------------|--|
| DC Mapping | The Dublin Core element used and a URI to the DC Metadata Element definition. |
| LDP Predicate | The Linked Data Platform predicate that will be used to define the field in PCDM. |
| Definition | Based on the DC Metadata Element set, how the field is used. |
| Required | Indicates if the field is: • Yes: This field must be included in the record for the work. • No: This field is recommended but not mandatory |
| Repeatable | Yes: the field may be included multiple times in the record. No: the field can only appear a single time in the record. |
| Input Type | How is data captured for this field: Controlled Vocabulary: indicates that a selection list is used when capturing. See "Schemes" for the source of the available selection values. Text: indicates that this is a free form text field. |
| Schemes | Identified the controlled vocabulary used for selection list fields, or the format convention used for data (i.e. structured dates). |
| Searchable | Indicates if the field is indexed for use in searching. Unless indicated, it is not included in the general search by default. |
| Facetable | Indicates if the field is indexed in order to provide a browse facet in the interface. Unless indicated, it is not included in the standard facets by default. |

Required Field Elements

| Label | Author(s) |
|---------------|---|
| DC Mapping | dc.creator |
| LDP Predicate | dce:creator http://purl.org/dc/elements/1.1/creator |
| Definition | The primary author(s) of the work. |
| Required | Yes |
| Repeatable | Yes |
| Input Type | Controlled Vocabulary |
| Schemes | CSU Linked Data Authorities (TBD) |
| Searchable | Yes |
| Facetable | Yes |

| Label | Date Submitted |
|---------------|--|
| DC Mapping | dc.date |
| LDP Predicate | dce:date http://purl.org/dc/elements/1.1/date |
| Definition | The date the work was submitted. The value should be captured directly from the work (i.e. the official date on the signature title page of a thesis). Repository related dates are system generated. |
| Required | Yes |
| Repeatable | No |
| Input Type | Text |
| Schemes | |

| Searchable | Yes |
|------------|-----|
| Facetable | Yes |

| Label | Handle |
|---------------|--|
| DC Mapping | dc.identifier.uri |
| LDP Predicate | premis:ContentLocation http://id.loc.gov/ontologies/premis.html#c_ContentLocation |
| Definition | The permanent link (purl) to the resource. |
| | Note: For DSpace migrated works, this will initially be the handle. |
| Required | Yes |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | No |

| Label | Keyword(s) |
|---------------|--|
| DC Mapping | dc.subject |
| LDP Predicate | dce:subject http://purl.org/dc/elements/1.1/subject |
| Definition | Subject keywords used to help find the resource. |
| Required | Yes |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |

| Facetable | Yes |
|-----------|-----|
| | |

| Label | Title |
|---------------|--|
| DC Mapping | dc.title |
| LDP Predicate | dce:title http://purl.org/dc/elements/1.1/title |
| Definition | The name given to the resource. |
| Required | Yes |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | No |

| Label | Туре |
|---------------|--|
| DC Mapping | dc.type |
| LDP Predicate | dce:type http://purl.org/dc/elements/1.1/type |
| Definition | The type of the resource. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | Thesis, Dissertation, Project |
| Searchable | Yes |
| Facetable | Yes |

| Label | College / School |
|---------------|---|
| DC Mapping | N/A |
| LDP Predicate | schema:CollegeOrUniversity http://schema.org/CollegeOrUniversity |
| Definition | The college or school within the university that the discipline belongs to. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | Local College Authorities (TBD) |
| Searchable | Yes |
| Facetable | Yes |

| Label | Department |
|---------------|--|
| DC Mapping | N/A |
| LDP Predicate | shema:department http://schema.org/department |
| Definition | The department within the college or school granting the degree. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | Local Department Authorities (TBD) |
| Searchable | Yes |
| Facetable | Yes |

| Label University | |
|------------------|--|
|------------------|--|

| DC Mapping | N/A |
|---------------|---|
| LDP Predicate | mre:dgg http://id.loc.gov/vocabulary/relators/dgg |
| Definition | Degree granting institution - the university granting the degree. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | CSU Institutions (TBD) |
| Searchable | Yes |
| Facetable | Yes |

| Label | Degree Level |
|---------------|---|
| DC Mapping | N/A |
| LDP Predicate | dcterms:educationLevel http://purl.org/dc/terms/educationLevel |
| Definition | The degree level being achieved as a result of the resource. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | Undergraduate, Masters, Doctoral |
| Searchable | Yes |
| Facetable | Yes |

| Label | Degree Name |
|---------------|-------------|
| DC Mapping | N/A |
| LDP Predicate | dibo:degree |

| | http://purl.org/ontology/bibo/degree |
|------------|---|
| Definition | The name of the degree being awarded as a result of the resource. |
| Required | Yes |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | Bachelors of *, Masters of *, EDD, etc. |
| Searchable | Yes |
| Facetable | Yes |

| Label | Abstract |
|---------------|---|
| DC Mapping | dc.description.abstract |
| LDP Predicate | dcterms:abstract http://purl.org/dc/terms/abstract |
| Definition | The full text of the abstract. |
| Required | No |
| Repeatable | No |
| Input Type | Yes |
| Schemes | |
| Searchable | Yes |
| Facetable | No |

Recommended Fields

| Label | Advisor |
|---------------|--|
| DC Mapping | dc.contributor.advisor |
| LDP Predicate | mre:ths http://id.loc.gov/vocabulary/relators/ths |
| Definition | A person under whose supervision a degree candidate develops and presents a thesis, mémoire, or text of a dissertation |
| Required | No |
| Repeatable | Yes |
| Input Type | Controlled Vocabulary |
| Schemes | CSU Linked Data Authorities (TBD) |
| Searchable | Yes |
| Facetable | Yes |

| Label | Committee Member |
|---------------|---|
| DC Mapping | dc.contributor.committeemember |
| LDP Predicate | mre:ctb http://id.loc.gov/vocabulary/relators/ctb |
| Definition | Role the person played in the creation or approval of the work. |
| Required | No |
| Repeatable | Yes |
| Input Type | Controlled Vocabulary |
| Schemes | Advisor, Committee Member, Editor, |
| Searchable | Yes |
| Facetable | Yes |

| Label | Geographical Area |
|---------------|---|
| DC Mapping | dc.coverage.spatial |
| LDP Predicate | dcterms:spatial http://purl.org/dc/terms/spatial |
| Definition | The spatial region reflected in the resource. |
| Required | No |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | Yes |

| Label | Time Period |
|---------------|---|
| DC Mapping | dc.coverage.temporal |
| LDP Predicate | dcterms:temporal http://purl.org/dc/terms/temporal |
| Definition | The temporal extent reflected in the resource. |
| Required | No |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | Yes |

| Label | Date Available |
|---------------|---|
| DC Mapping | dc.date.available |
| LDP Predicate | dcterms:available http://purl.org/dc/terms/available |
| Definition | The date the work was made available. |
| Required | Yes |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | Yes |

| Label | Date Copyright |
|---------------|---|
| DC Mapping | dc.date.dateCopyrighted |
| LDP Predicate | dcterms:dateCopyrighted http://purl.org/dc/terms/dateCopyrighted |
| Definition | The date of copyright. |
| Required | Yes |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | Yes |

| Label | Date Issued |
|------------|----------------|
| DC Mapping | dc.date.issued |

| LDP Predicate | dcterms:issued http://purl.org/dc/terms/issued |
|---------------|---|
| Definition | The date the work was made available. |
| Required | Yes |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | Yes |

| Label | Description |
|---------------|--|
| DC Mapping | dc.description |
| LDP Predicate | dce:description http://purl.org/dc/elements/1.1/description |
| Definition | A general description of the resource not including the full text of the abstract. |
| Required | No |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | No |

| Label | Language |
|---------------|--|
| DC Mapping | dc.language |
| LDP Predicate | dce:language http://purl.org/dc/elements/1.1/language |
| Definition | The ISO 639-3 language code describing the primary language of |

| | the work. |
|------------|-----------------------|
| Required | No |
| Repeatable | No |
| Input Type | Controlled Vocabulary |
| Schemes | ISO 639-3 |
| Searchable | Yes |
| Facetable | Yes |

| Label | Sponsor |
|---------------|--|
| DC Mapping | (was) dc.description.sponsorship |
| LDP Predicate | mrel:spn http://id.loc.gov/vocabulary/relators/spn |
| Definition | An entity responsible for making the resource available when different from thesis.degree.grantor. |
| Required | No |
| Repeatable | No |
| Input Type | Text |
| Schemes | |
| Searchable | Yes |
| Facetable | No |

| Label | Rights |
|---------------|--|
| DC Mapping | dc.rights |
| LDP Predicate | dce:rights http://purl.org/dc/elements/1.1/rights |
| Definition | The rights statement describing the resource. This can be a local rights statement, a Creative Commons statement, etc. |

| Required | No |
|-----------------|---------------------------------|
| Repeatable | No |
| Input Type | TBD |
| Schemes | Local statement, CC types, etc. |
| Input Examples | |
| Output Examples | |
| Searchable | No |
| Facetable | No |

| Label | Access Rights |
|-----------------|---|
| DC Mapping | dc.rights.accessRights |
| LDP Predicate | dcterms:accessRights http://purl.org/dc/terms/accessRights |
| Definition | |
| Required | No |
| Repeatable | No |
| Input Type | TBD |
| Schemes | Local statement, CC types, etc. |
| Input Examples | |
| Output Examples | |
| Searchable | No |
| Facetable | No |

| Label | License |
|------------|-------------------|
| DC Mapping | dc.rights.license |

| LDP Predicate | dcterms:license http://purl.org/dc/terms/license |
|-----------------|---|
| Definition | The rights license statement. Or Link to. |
| Required | No |
| Repeatable | No |
| Input Type | TBD |
| Schemes | Local statement, CC types, etc. |
| Input Examples | |
| Output Examples | |
| Searchable | No |
| Facetable | No |

| Label | Alternate Title(s) |
|-----------------|---|
| DC Mapping | dc.title.alternative |
| LDP Predicate | dcterms:alternative http://purl.org/dc/terms/alternative |
| Definition | Any alternative titles of the resource. |
| Required | No |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Input Examples | |
| Output Examples | |
| Searchable | Yes |
| Facetable | No |

| Label | Statement of Responsibility |
|-----------------|---|
| DC Mapping | N/A |
| LDP Predicate | mrel:rpy http://id.loc.gov/vocabulary/relators/rpy |
| Definition | |
| Required | No |
| Repeatable | Yes |
| Input Type | Text |
| Schemes | |
| Input Examples | |
| Output Examples | |
| Searchable | Yes |
| Facetable | No |

Controlled Vocabularies

By Institution

- Colleges / Schools
- Departments

Global

- CSU Institutions
- ETD Types
- Degree Levels
- Degree Names
- Contributor Roles
- ISO 639-3 Language Codes

Resources

Networked Digital Library of Theses and Dissertations (NDLTD)

Networked Digital Library of Theses and Dissertations (NDLTD) Metadata Standard

Orbis Cascade Alliance Dublin Core Best Practice Guidelines.

Premis Ontology

MARC Relators Ontology