

# ScholComm Committee: Digital Publishing, OA policy & ORCID Survey Results – Preliminary analysis

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# Preliminary results | simple analysis | Digital Publishing / Open Access Initiatives / ORCID

- Context:
  - ScholComm committee's first year; looking to establish goals & ways to meet CSU-wide needs in area of Scholarly Communications;
- What:
  - Examining state of CSU campus attitudes about:
    - Digital publishing & IR deposit practices/workflows (broadly speaking)
    - Open access policy implementation across the CSU
    - Awareness of / interest in ORCID (unique researcher ID #s)
- Why:
  - Want to gauge perceptions of digital publishing practices and the usefulness of an open access policy;
  - Get a sense of perceived obstacles and opportunities, and what reasons may prevent implementation and what might spur success.
  - ScholComm committee can inform COLD as well as ScholarWorks steering committee of the deeper & broader issues inherent to Scholarly Communication

# Preliminary results | simple analysis | Digital Publishing / Open Access Initiatives / ORCID

- Survey opened on June 11; closed July 31, 2019
- **18 of 23** campuses responded
- **26** Questions:
  - **1-13; 17-18**: Digital publishing and “green” OA IR archiving practices
  - **14-16**: OA policy – attitudes, awareness of, needs, greatest obstacles faced
  - **19-20**: Open ended: (Needs on campus RE:Digital publishing + desired services)
  - **21-26**: ORCID – attitudes, awareness, perceived needs

# Preliminary results | simple analysis | Digital Publishing & OA survey results

- *Q1 – Q10: Multiple choice questions [same 6 choices for all]*

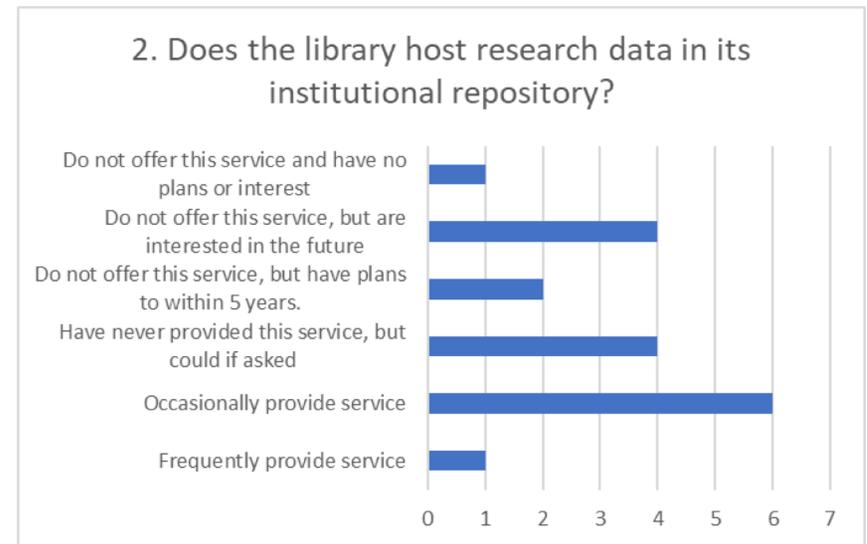
- Frequently provide service
- Occasionally provide service
- Have never provided this service, but could if asked
- Do not offer this service, but have plans to within 5 years
- Do not offer this service, but are interested in the future
- Do not offer this service and have no plans or interest

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q1 & Q2]

- Q1 – Q10: Multiple choice questions [same 6 choices for all]



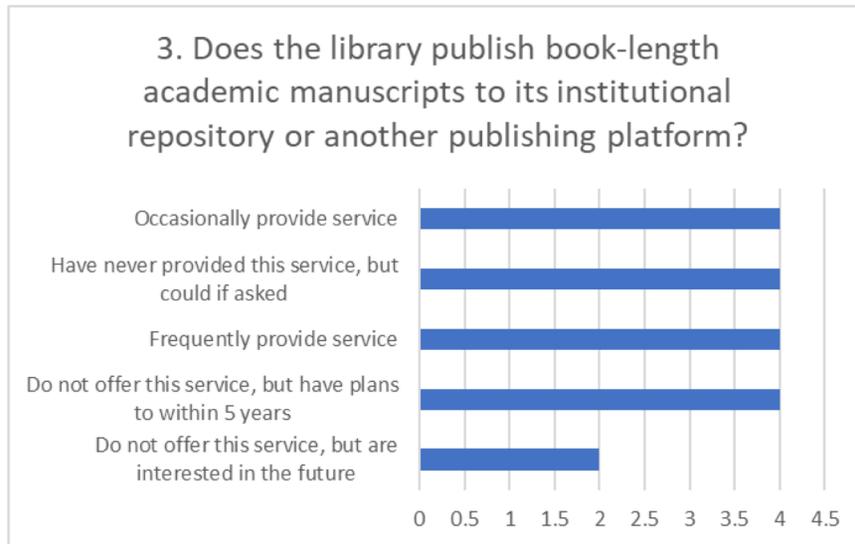
**12** provide the service; **6** do not / have not (yet) [but intend to in the future]



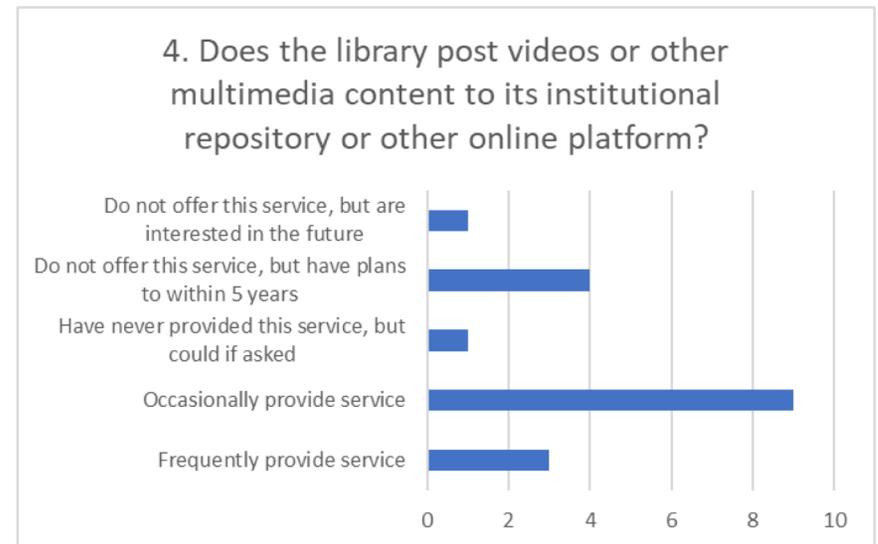
**7** provide the service; **10** do not / have not (yet); **1** has no plan to

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q3 & Q4]

- Q1 – Q10: Multiple choice questions



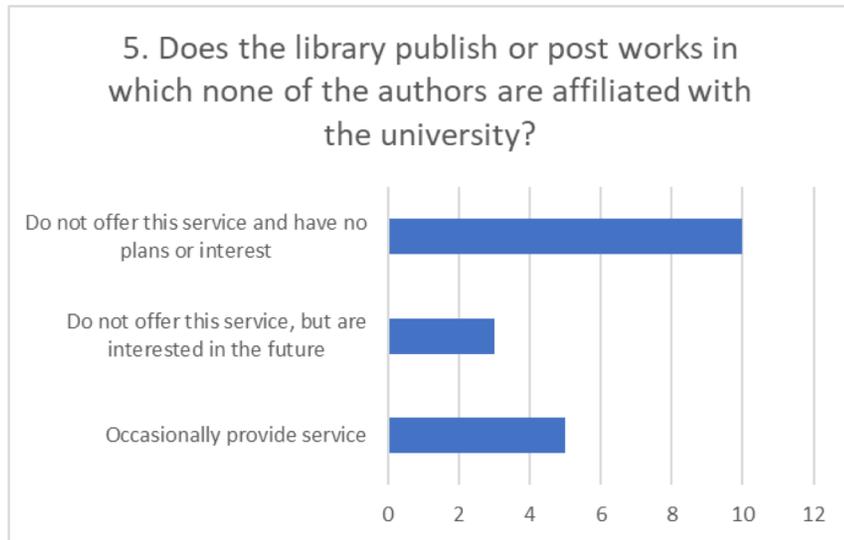
**8** provide the service; **10** do not / have not (yet)



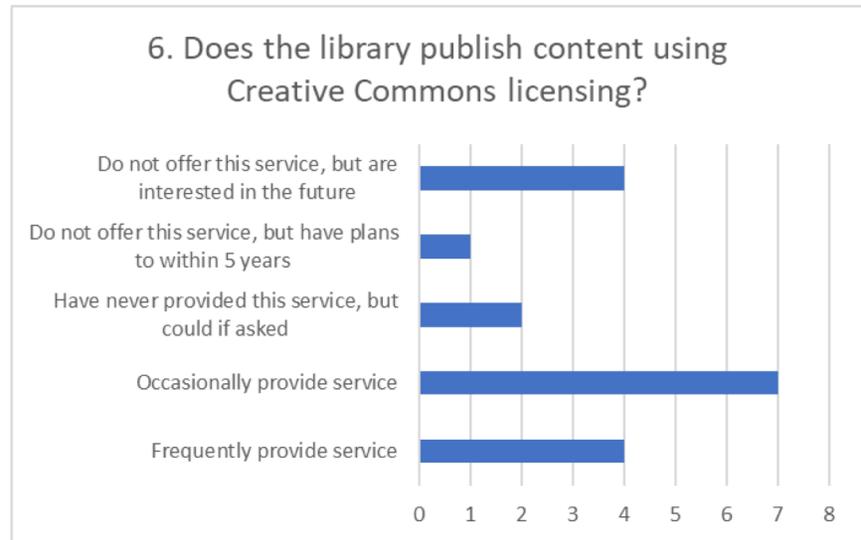
**12** provide the service; **6** do not / have not (yet)

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q5 & Q6]

- Q1 – Q10: Multiple choice questions



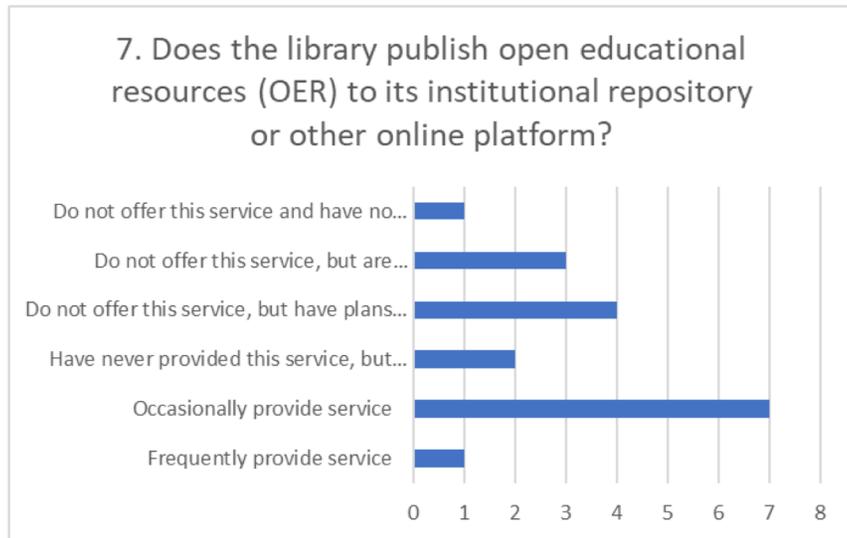
**5** provide the service; **2** do not / have not (yet); **10** no plan to



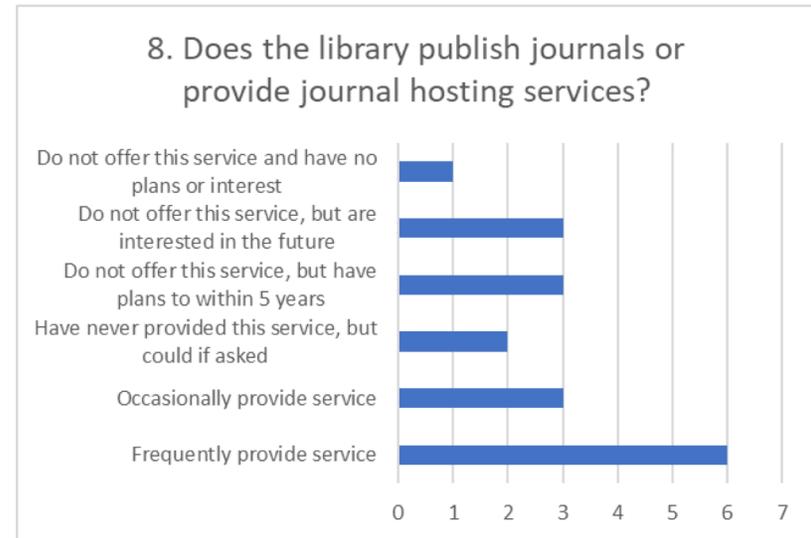
**11** provide the service; **7** do not / have not (yet)

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q7 & Q8]

- Q1 – Q10: Multiple choice questions



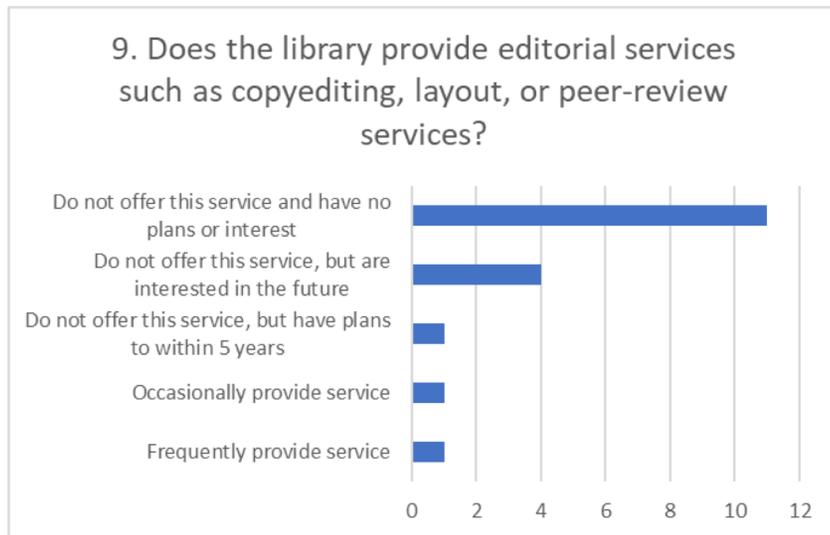
8 provide the service; 9 do not / have not (yet); 1 no plan



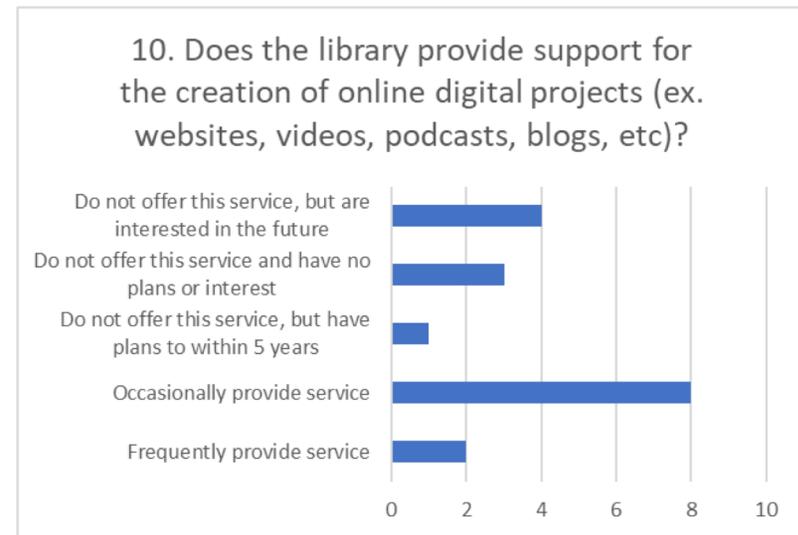
9 provide the service; 8 do not / have not (yet); 1 no plan

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q9 & Q10]

- Q1 – Q10: Multiple choice questions



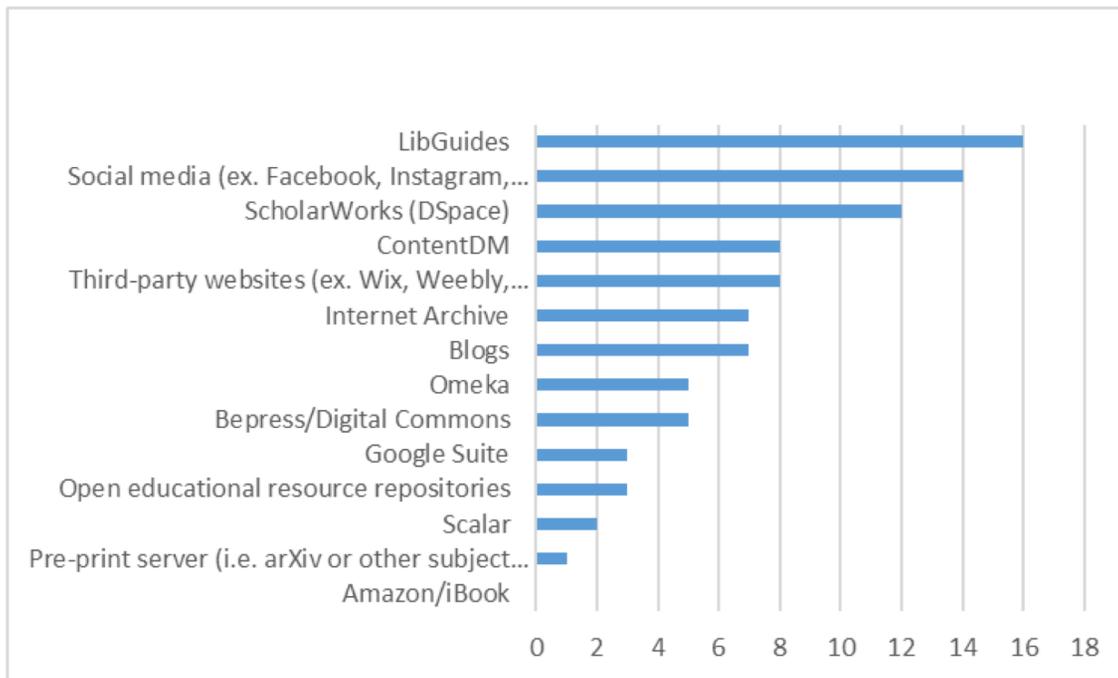
**2** provide the service; **5** do not / have not (yet); **11** no plan



**10** provide the service; **5** do not / have not (yet); **3** no plan

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q11]

- *Q11-Does the library publish content using any of the following platforms?*



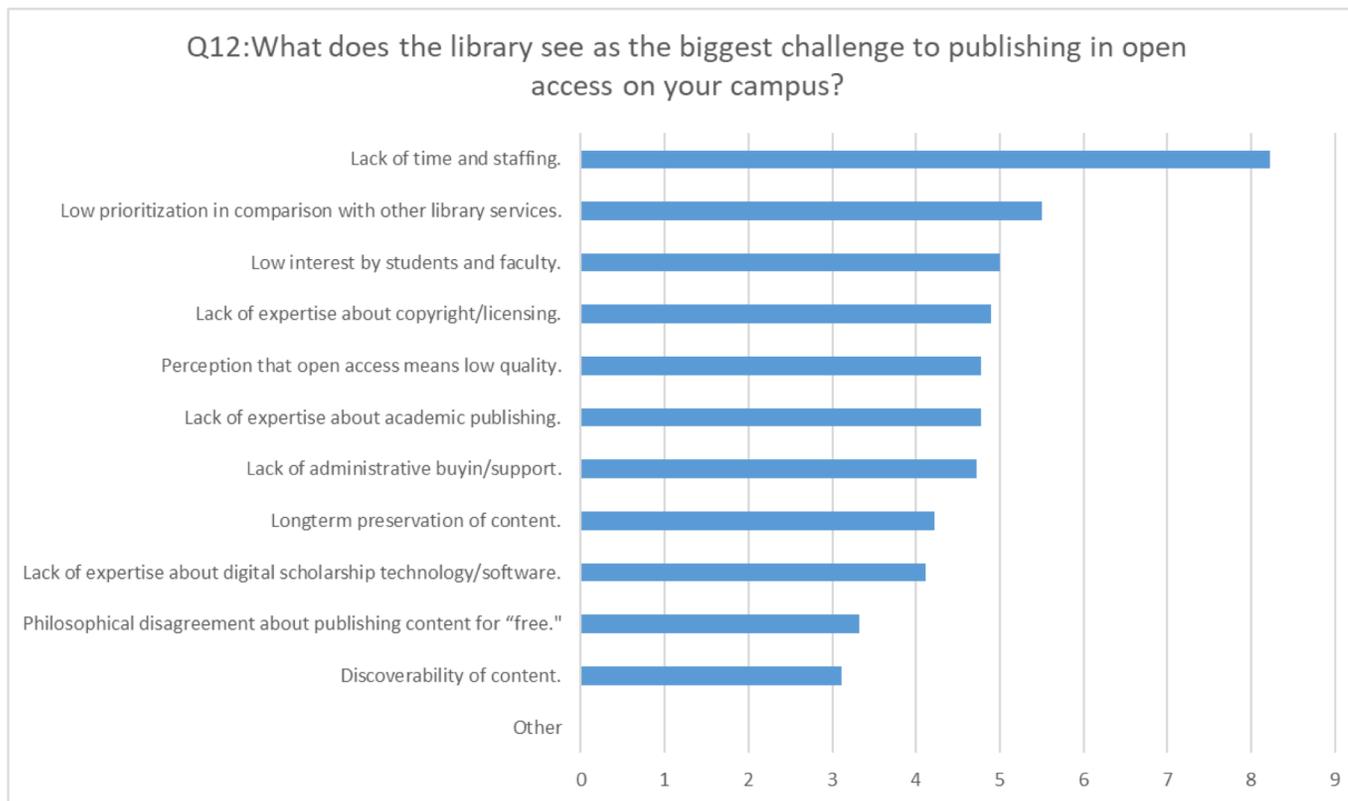
Generally, large number of platforms adopted across CSU for content publication. Suggesting: *No one platform is suitable for every publishing case.*

Top 4 are not surprising: LibGuides / Soc Med / SW / Contentdm

**Surprising:** Internet Archive and Omeka; **OER repository use seems low, especially given Q7 replies**

**Unpopular:** iBook/ebook platforms; pre-print servers (mostly faculty self-archiving anyway)

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q12]

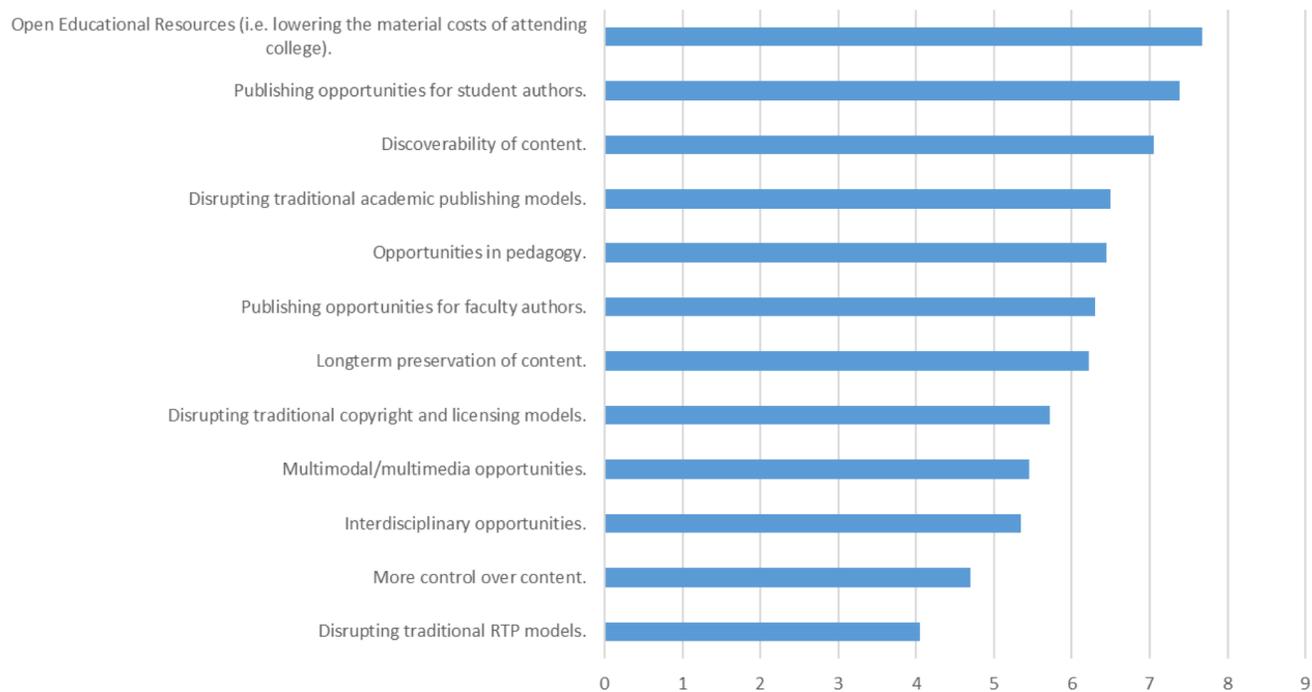


- LIKERT SCALE

- 1 = Not a challenge
- 10 = Biggest challenge
- Ranked by avg scores;
- Biggest perceived challenge ranked first

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q13]

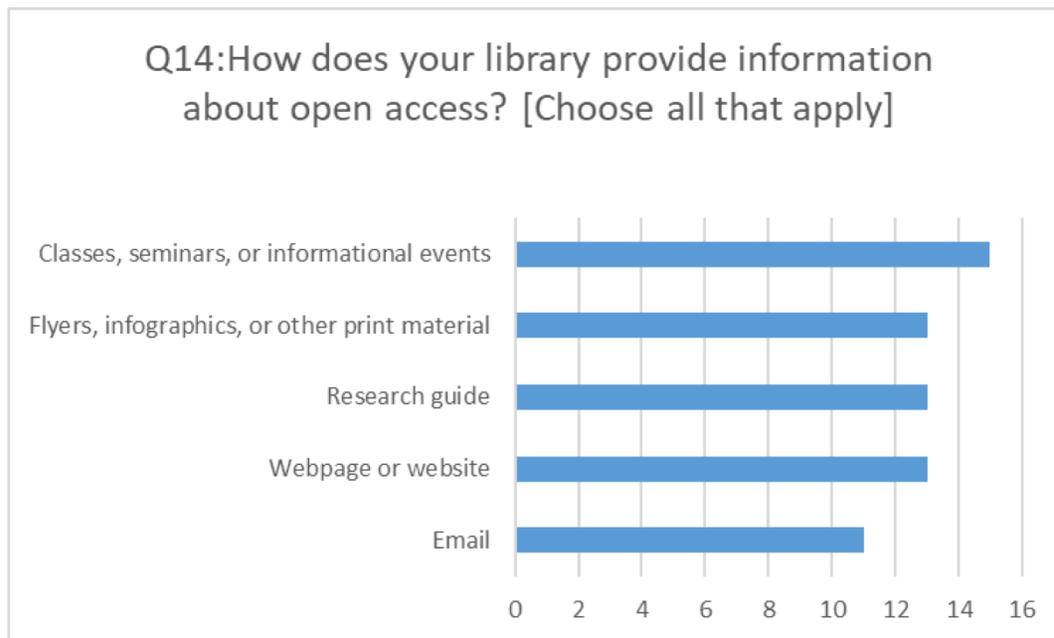
Q13: What does the library see as the biggest benefit to publishing in open access on your campus?



- LIKERT SCALE

- 1= Not a benefit
- 10= Biggest benefit
- Ranked by avg scores;
- Biggest perceived benefit ranked first
- Opportunities to help students seen as biggest benefits;
- disruptions somewhat less so.

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q14]



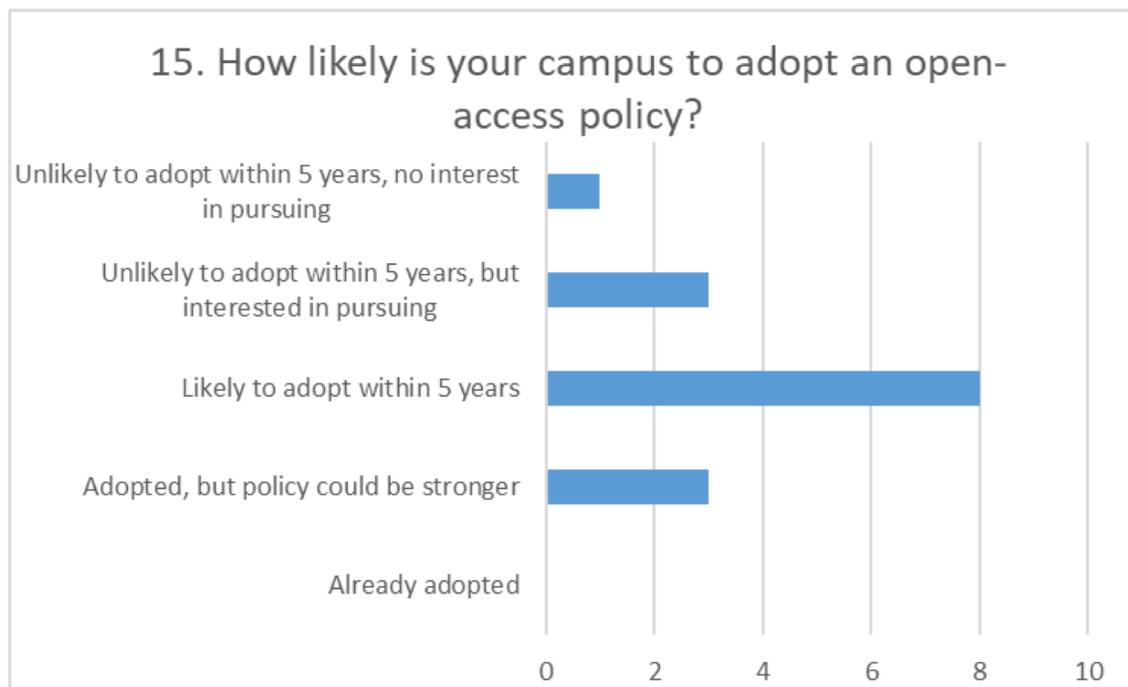
RE: **Zero** 'None' replies: Suggests that the message about OA is getting out there at all the respondents' campuses in one way or another;

*Recommend:*

- *focusing on how to better target the message*
- *look at what prevents faculty from participating*
- *devise strategies to overcome obstacles in participation*

Webpage or website 13; Research guide 13; Classes, seminars, or informational events 15; Flyers, infographics, or other print material 13; Email 11; **None 0**; Other: 0

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q15]



Suggests: momentum is building; but obstacles exist;

3 *limited opt-in* policies are not meeting local goals or needs; recommend further exploration

**3** adopted (*but limited*); **8** likely to; **3** unlikely (but interested); **1** no interest

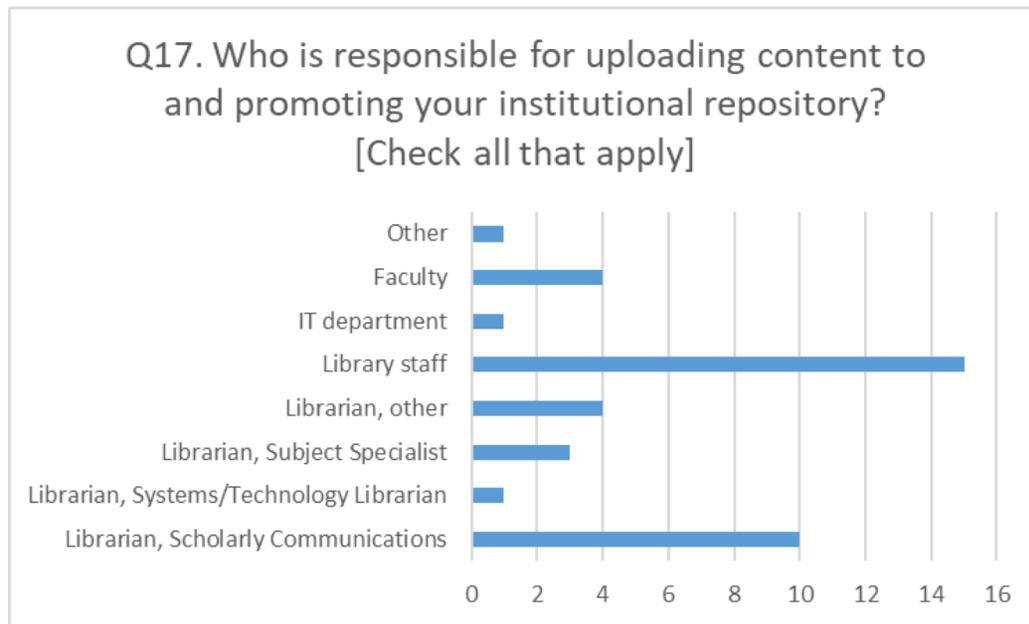
# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q16] part 1

- Q16: What obstacles have prevented the campus from adopting an open access policy? [open ended question]
- **Lack of campus support/interest/time: 8**
  - “The faculty senate on our campus may not support a mandate without a long debate”
  - “Some disciplinary faculty and campus administration have indicated that they see OA advocacy as the library stepping outside its lane and trying to become involved in disciplinary conversations and matters”
  - “We have not pursued an OA policy as we've seen this initiative fail at other CSUs and have lukewarm reception when adopted.”
  - “The campus tried to pass an ‘opt-in’ OA policy a few years ago and it did not go well”
  - “Lack of staff time to dedicate to this issue.”
  - “Lack of initiative to introduce to appropriate Academic Senate committees and Academic Affairs leadership”
  - “Adopting any policy takes a long time.”
- **Confusion about OA, need more outreach: 5**
  - Confusion about what it means exactly? Philosophical differences about publishing open access.
  - Lack of knowledge by faculty how often they are signing their copyright away

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q16] part 2

- Q16: What obstacles have prevented the campus from adopting an open access policy? [open ended question]
- **Conflict with RTP model: 3**
  - The existing RTP process is very much based on traditional models
- **Inhibits publishing: 3:**
  - Concerns from faculty about lack of control about where they are allowed to publish.  
-Who pays for Author Processing Fees... the benefit goes to Elsevier and rest.
- **Copyright and intellectual property: 2**
- **Concerns about illegality of OA policies: 2**
- **Pushback from publishers (ex. ACS): 1**
- **Lack of access to pre-print copies: 1**
- **Book publishing: 1**
  - “Some disciplines (ex. history) need to publish books...how do we get content like that in our IR?”

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q17]



Responsibility lies with librarians and library staff, to cover most content submissions;

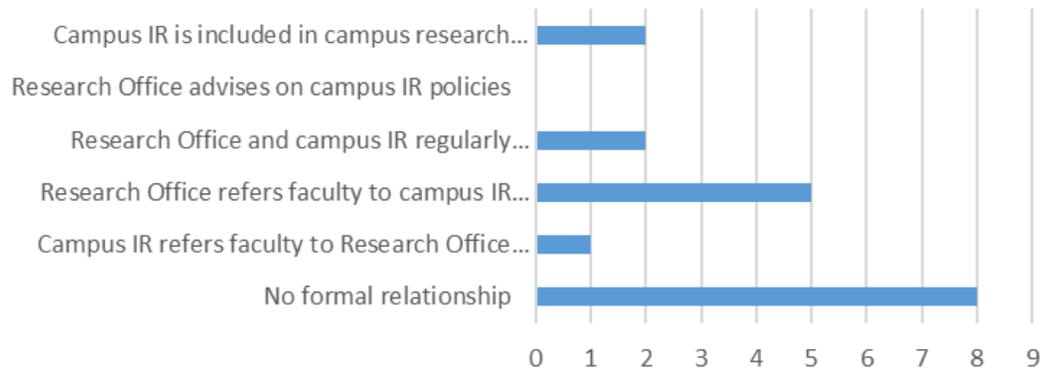
Few outside of a library are submitting: faculty at four campuses may be self-archiving

Staff/admin outside of library in one reported campus (are there more?)

Librarians = **18**; Library staff = **15**; IT department = **1**; Faculty = **4**; Other: **1** (Staff/administrators outside of library)

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q18]

Q18. What kind of relationship does your campus research office have to your institutional repository? [Check all that apply]

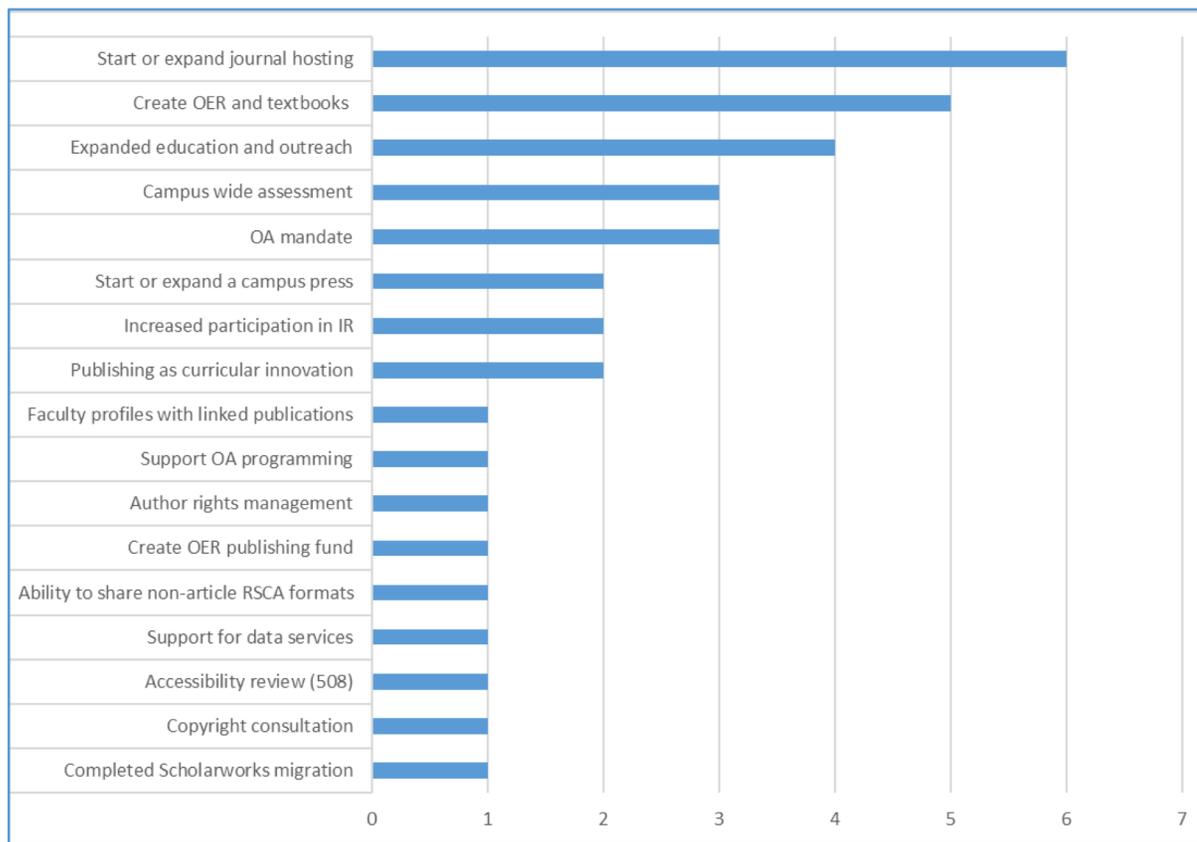


No formal relationship among many campuses

Notably: **2** are included in grant writing policies.  
NOTE: *This could be an important way to work w/ research offices, by assisting in federal / state research OA mandate compliance*

Also: **NO** Research offices advise libraries on OA policy, suggesting OA still largely domain of libraries on CSU campuses;

# Preliminary results | simple analysis | Digital Publishing & OA survey results [Q19-20]



- Q19. What open-access publishing services would meet the greatest needs on your campus? [open ended question]
- Q20. What open-access publishing actions would you would most like undertaken on your campus? [open ended question]

# Preliminary results | Simple analysis | ORCID

## Questions 21-26

What:

Looking for information on awareness of and interest in ORCID at CSU libraries

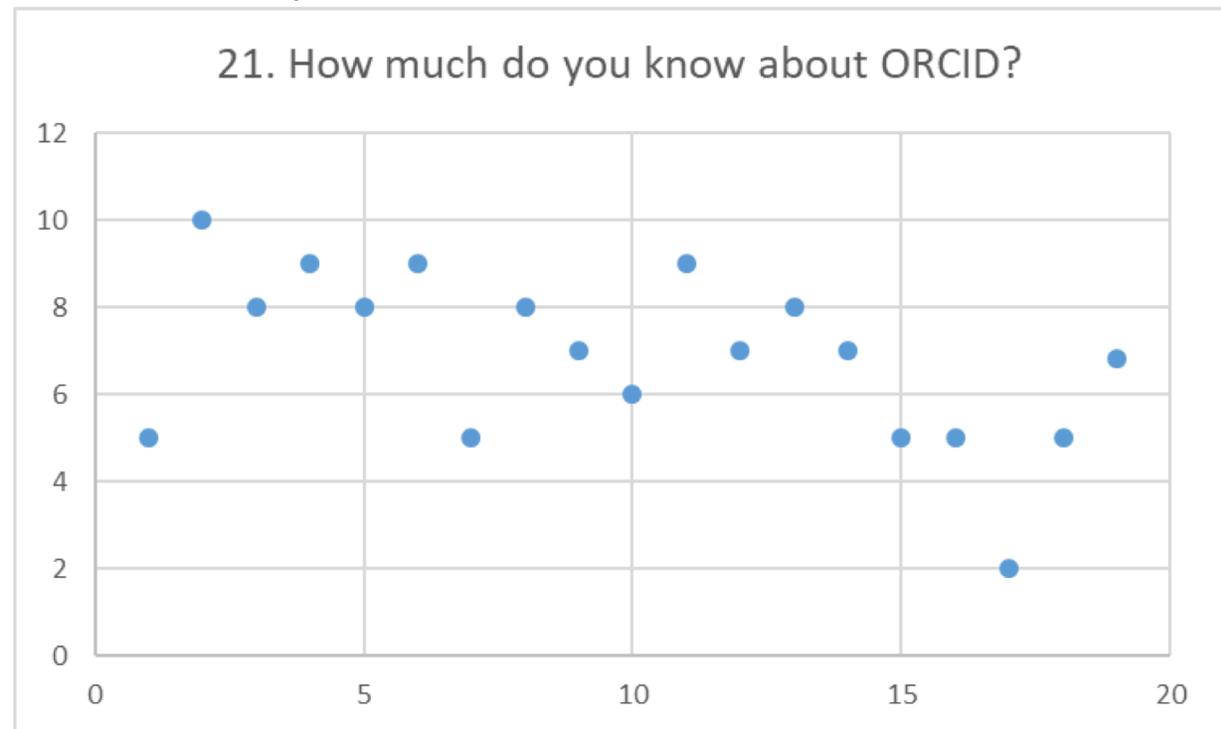
Why:

Want to gauge perceptions of ORCID & librarians' sense of perceived obstacles and opportunities.

What reasons may prevent its implementation or spur its success?

# Q21: “How much do you know about ORCID?”

- On a Likert scale of 1-10
  - 1 = Nothing; 10 = Expert
    - 10 = 1x
    - 9 = 3x
    - 8 = 3x
    - 7 = 4x
    - 6 = 1x
    - 5 = 5x
    - 4 = 0x
    - 3 = 0x
    - 2 = 1x
    - 1 = 0x
  - Avg: 6.88

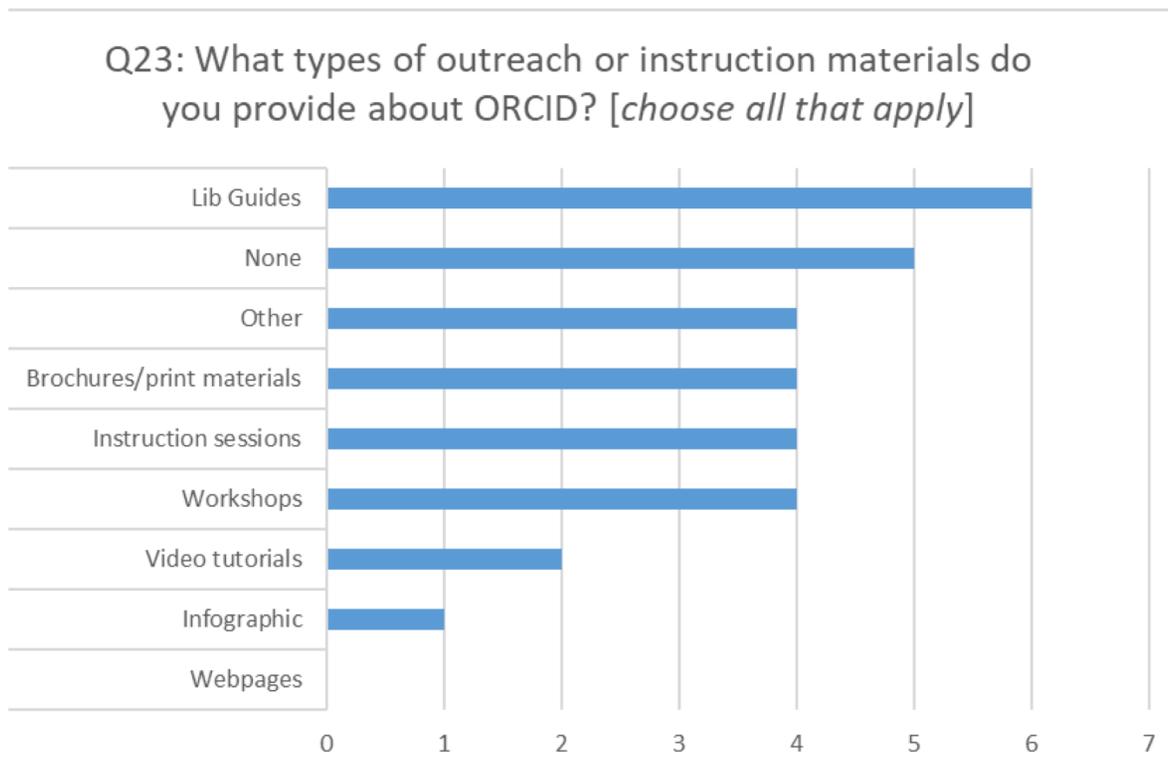


- Generally an *above-average familiarity* with ORCID among respondents; responses clustered in between a general awareness/knowledge of ORCID (5) and expert level (10); only one below 5 threshold (ranked at 2). *Adoption of ORCID not an issue of familiarity.*

## Q22: Is your campus or library considering an institutional membership to ORCID? Please explain.

- **18** responses
  - **12** say they are **not** considering it
  - **4** say they **are** considering it
  - **2** are currently members
- Some thoughts on **not** considering
  - *“Not much interest or knowledge of it outside of the library”*
  - *“Can't afford on my own; I would prefer a CSU-wide membership”*
  - *“Nope, fine to encourage researchers to independently join”*
  - *“No...ORCID is 100% opt-in.” [results in too many obstacles for faculty to participate]*
- Some thoughts on **yes**, considering
  - *“Yes we want it but we need certain integrations first: bepress, our version of Interfolio, PeopleSoft”*
  - *“We've considered the membership, but it is costly and we don't have a budget designated for this currently. We would consider a cheaper consortial license.”*

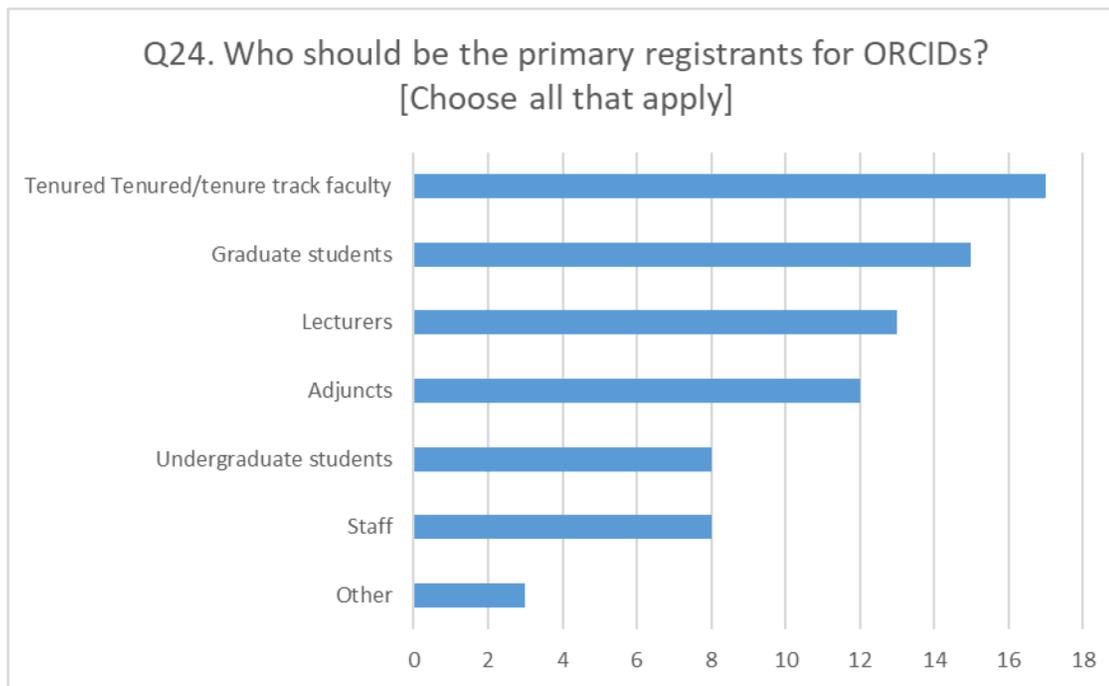
## Q23: What types of outreach or instruction materials do you provide about ORCID? [*choose all that apply*]



### • Results:

- Lib Guides = 6
- **None = 5**
- **Other = 4**
  - *OTHER = sign up events (2); face-to-face (1); Sponsored Programs (1)*
- Brochures/print mat. = 4
- Instruction sessions = 4
- Workshops = 4
- Video tutorials = 2
- Infographics = 1
- Webpages = 0

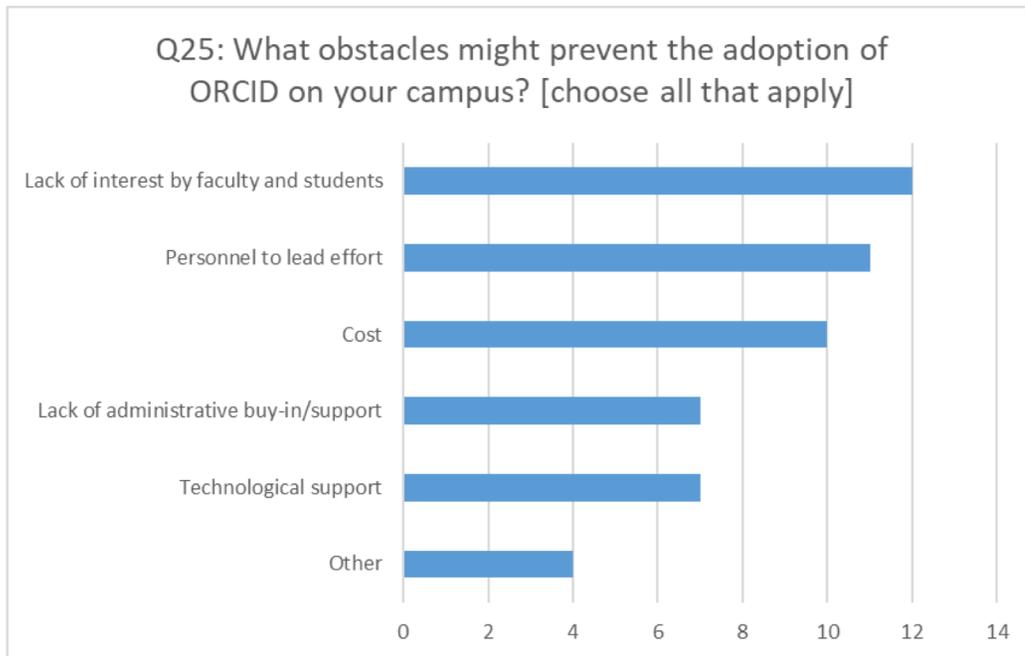
## Q24. Who should be the primary registrants for ORCID's? [Choose all that apply]



- Tenured Tenured/tenure track faculty = 17
- Lecturers = 13
- Adjuncts = 12
- Staff = 8
- Graduate students = 15
- Undergraduate students = 8
- Other = 3
  - “Anyone who is publishing or applying for grants.”
  - “Everyone.”
  - “Anyone who wants to!”

Primarily seen as a service for faculty and graduate students, with lecturers/adjuncts considered to a certain degree; staff and undergrads much less so...tiny but vocal support for “Anyone”.

## Q25: What obstacles might prevent the adoption of ORCID on your campus? [choose all that apply]

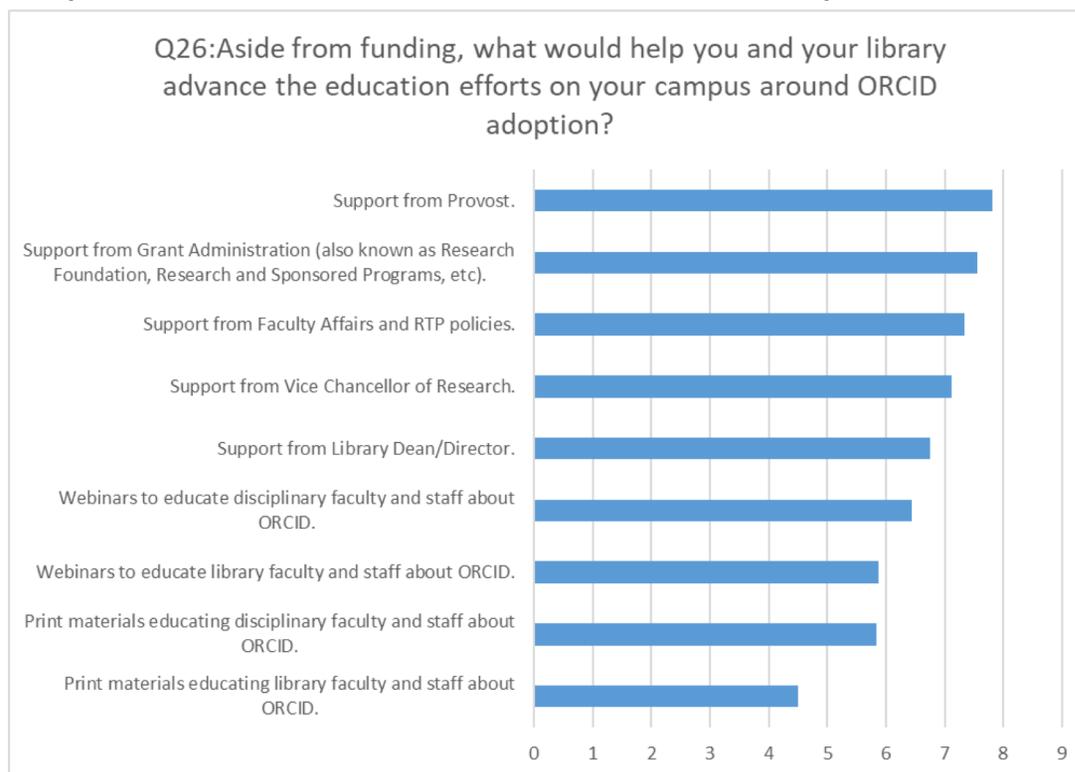


### • Other obstacles mentioned:

- Disciplinary faculty have seen similar systems come and go;
- misunderstanding of the benefits of ORCID;
- faculty not seeing ORCID as relevant to their publishing milieu (liberal arts, monographs)
- Lack of statistics, lack of organizational control.
- Lack of data on interest in ORCID, lack of knowledge about ORCID.

Overall some **large obstacles** raised: mainly **cost, personnel, and primary stakeholder interest**;

## Q26: Aside from funding, what would help you and your library advance the education efforts on your campus around ORCID adoption?



- Likert scale
- 1 = Least helpful
- 10 = Most helpful
  - Ranked by avg. scores;
  - Most helpful ranked first
  - Clear trends about *desire for administrative support*
  - Less interest in physical learning/outreach materials

# A few quick takeaways:

- **Digital publishing:**
  - Librarians are *generally* interested in offering a platform for publishing,
  - But, have **little** interest in managing/offering traditional publishing services (i.e. layout, editing, peer-review, etc...).
  - Time and labor is the major obstacle; {duh!}
  - Biggest benefits to digital publishing are seen as *educational*: i.e.: we really want to help *students*
- **Green IR archiving practices:**
  - Librarians **DON'T** intend to widen scope of repository for *non-campus affiliated works*;
  - Libraries primarily handle the work in-house;
  - *Seems to be very little activity in terms of external partnerships*;
- **OA policy:**
  - Still perceived as mainly a library issue in the CSUs; *the trouble is getting the message to those outside the library.*
- **ORCID:**
  - Librarians are generally familiar with ORCID; but lack of adoption has little to do with its familiarity;
  - High amount of overall **non-interest** among librarians
    - *Associated costs and perceived lack of fac/stu. interest seem to be main reasons*
  - Librarians appear to **strongly want** administrative support from Deans, Provosts, and Offices of Research &c.

# More takeaways?

- What do you think?

# Discussion

- What does this tell us?
- What do we do now?
- ScholComm committee's next moves are \_\_\_\_\_.